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***Robust plane sweep for intersecting segments***

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# Robust plane sweep for intersecting segments

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**Abstract:** In this paper, we reexamine in the framework of robust computation the Bentley-Ottmann algorithm for reporting intersecting pairs of segments in the plane. This algorithm has been reported as being very sensitive to numerical errors. Indeed, a simple analysis reveals that it involves predicates of degree 5, presumably never evaluated exactly in most implementation. Within the exact-computation paradigm we introduce two models of computation aimed at replacing the conventional model of real-number arithmetic. The first model (predicate arithmetic) assumes the exact evaluation of the signs of algebraic expressions of some degree, and the second model (exact arithmetic) assumes the exact computation of the value of

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such (bounded-degree) expressions. We identify the characteristic geometric property enabling the correct report of all intersections by plane sweeps. Verification of this property involves only predicates of (optimal) degree 2, but its straightforward implementation appears highly inefficient. We then present algorithmic variants that have low degree under these models and achieve the same performance as the original Bentley-Ottmann algorithm. The technique is applicable to a more general case of curved segments.

**Key-words:** Computational Geometry, Robustness, Sweep line algorithms, Exact arithmetic, Bentley-Ottmann's algorithm

*(Résumé : tsvp)*

# Algorithmes robustes par balayage pour rechercher des segments qui se coupent

**Résumé :** Dans cet article, nous réexaminons, du point de vue de la robustesse, l'algorithme de Bentley-Ottmann qui recherche dans une famille finie de segments du plan les paires qui se coupent. Cet algorithme est bien connu pour être très sensible aux erreurs numériques, ce que confirme une analyse qui montre qu'il demande d'évaluer des prédicats de degré 5. Dans le cadre du calcul exact, nous introduisons deux modèles de calcul qui visent à remplacer le modèle habituel de l'arithmétique réelle. Le premier modèle (arithmétique des prédicats) suppose que l'on peut évaluer de manière exacte le signe de toute expression algébrique de degré au plus  $d$ . Le deuxième modèle (arithmétique exacte) suppose que la valeur de telles expressions peut être calculée exactement. On met en évidence la propriété caractéristique qui garantit le bon comportement d'un algorithme de balayage. Vérifier que cette propriété est satisfaite ne requiert que des prédicats de degré 2 mais ne conduit pas directement à un algorithme efficace. On présente trois variantes de l'algorithme de Bentley-Ottmann de même complexité que celui-ci mais dont les degrés sont plus faibles. La technique s'applique à certains types d'arcs de courbe.

**Mots-clé :** Géométrie algorithmique, Robustesse, Balayage, Arithmétique exacte, Algorithme de Bentley-Ottmann

# 1 Introduction

As is well known, Computational Geometry has traditionally adopted the arithmetic model of exact computation over the real numbers. This model has been extremely productive in terms of algorithmic research, since it has permitted a vast community to focus on the elucidation of the combinatorial (topological) properties of geometric problems, thereby leading to sophisticated and efficient algorithms. Such approach, however, has a substantial shortcoming, since all computer calculations have finite precision, a feature which affects not only the quality of the results but even the validity of specific algorithms. In other words, in this model algorithm correctness does not automatically translate into program correctness. In fact, there are several reports of failures of implementations of theoretically correct algorithms (see e.g. [For87, Hof89]). This state-of-affairs has engendered a vigorous debate within the research community, as is amply documented in the literature. Several proposals have been made to remedy this unsatisfactory situation. They can be split into two broad categories according to whether they perform exact computations (see, e.g., [BKM<sup>+</sup>95, FV93, Yap97, She96]) or approximate computations (see, e.g., [Mil88, HHK89, Mil89]).

This paper fine-tunes the exact-computation paradigm. The numerical computations of a geometric algorithm are basically of two types: tests (predicates) and constructions, with clearly distinct roles. Tests are associated with branching decisions in the algorithm that determine the flow of control, whereas constructions are needed to produce the output data. While approximations in the execution of constructions are often acceptable, approximations in the execution of tests may produce incorrect branching, leading to the inconsistencies which are the object of the criticisms leveled against geometric algorithms. The exact-computation paradigm therefore requires that tests be executed with total accuracy. This will guarantee that the result of a geometric algorithm will be topologically correct albeit geometrically approximate. This also means that robustness is in principle achievable if one is willing to employ the required precision. The reported failures of structurally correct algorithms are entirely attributable to non-compliance with this criterion.

Therefore, geometric algorithms can also be characterized on the basis of the complexity of their predicates. The complexity of a predicate is expressed by the degree of a homogeneous polynomial embodying its evaluation. The degree of an algorithm

is the maximum degree of its predicates, and an algorithm is robust if the adopted precision matches the degree requirements.

The “degree criterion” is a design principle aimed at developing low-degree algorithms. This approach involves re-examining under the degree criterion the rich body of geometric algorithms known today, possibly without negatively affecting traditional algorithmic efficiency. A previous paper [LPT96] considered as an illustration of this approach the issue of proximity queries in two and three dimensions. As an additional case of degree-driven algorithm design, in this paper we confront another class of important geometric problems, which have caused considerable difficulties in actual implementations: plane-sweep problems for sets of segments. As we shall see, plane-sweep applications involve a number of predicates of different degree and algorithmic power. Their analysis will lead not only to new and robust implementations (an outcome of substantial practical interest) but elucidate on a theoretical level some deeper issues pertaining to the structure of several related problems and the mechanism of plane-sweeps.

## 2 Plane sweep of intersecting segments

Given is a finite set  $\mathcal{S}$  of line segments in the plane. Each segment is defined by the coordinates of its two endpoints. We discuss the three following problems (see Figure 1) :

**Pb1** : report the pairs of segments of  $\mathcal{S}$  that intersect .

**Pb2** : construct the arrangement  $\mathcal{A}$  of  $\mathcal{S}$ , i.e., the incidence structure of the graph obtained interpreting the union of the segments as a planar graph.

**Pb3**: construct the trapezoidal map  $\mathcal{T}$  of  $\mathcal{S}$ .  $\mathcal{T}$  is obtained by drawing two vertical line segments (*walls*), one above and one below each endpoint of the segments and each intersection point. The walls are extended either until they meet another segment of  $\mathcal{S}$  or to infinity.

Let  $S_1, \dots, S_n$  be the segments of  $\mathcal{S}$  and let  $k$  be the number of intersecting pairs. We say that the segments are in *general position* if any two intersecting segments intersect in a single point, and all endpoints and intersection points are distinct.



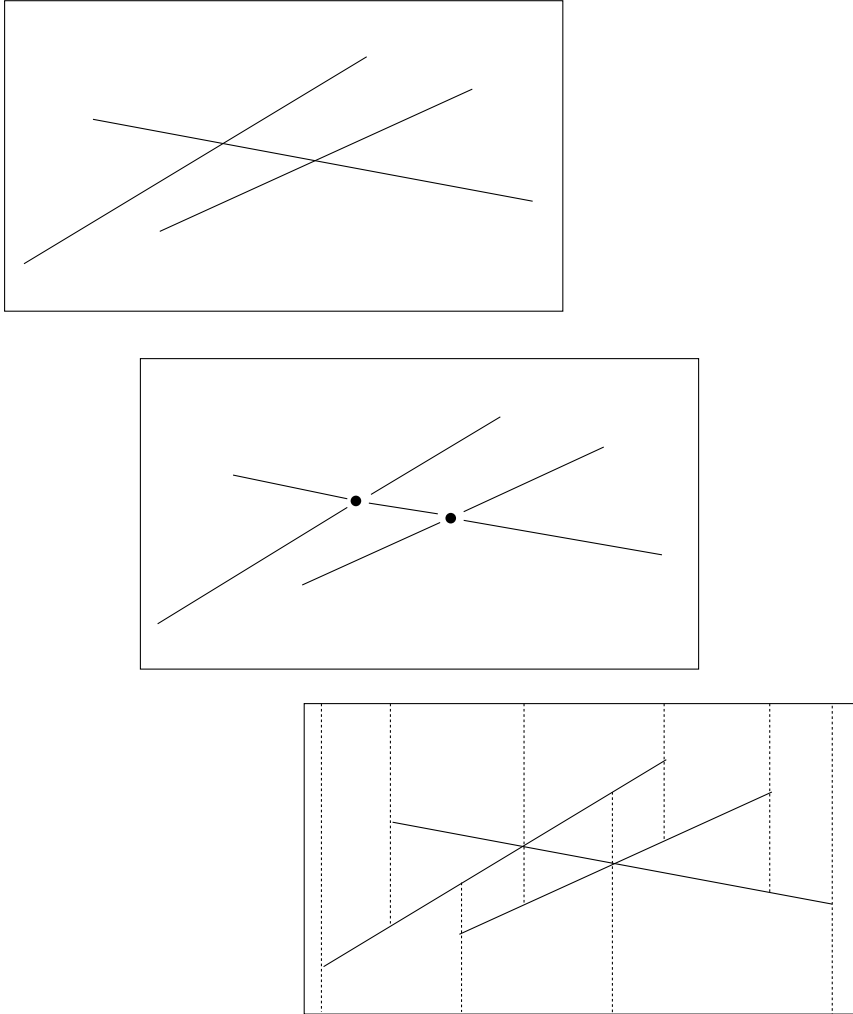


Figure 1:  $\mathcal{S}$ ,  $\mathcal{A}$  and  $\mathcal{T}$ .

The number of intersection points is no more than the number of intersecting pairs of segments and both are equal if the segments are in general position. Therefore, the number of vertices of  $\mathcal{A}$  is at most  $k$ , the number of edges of  $\mathcal{A}$  is at most  $n + 2k$  and the number of vertical walls in  $\mathcal{T}$  is at most  $2(n + k)$ , the bounds being tight when the segments are in general position. Thus the sizes of both  $\mathcal{A}$  and  $\mathcal{T}$  are  $O(n + k)$ . We didn't consider here the 2-dimensional faces of either  $\mathcal{A}$  or  $\mathcal{T}$ . Including them would not change the problems we address.

### 3 Algebraic degree and arithmetic models

It is well known that the efficient algorithms that solve Pb1-Pb3 are very unstable when implemented as programs, and several frustrating experiences have been reported [For85]. This motivates us to carefully analyze the predicates involved in those algorithms. We first introduce here some terminology borrowed from [LPT96]. We consider each input data (i.e., coordinates of an endpoint of some segment of  $\mathcal{S}$ ) as a *variable*.

An *elementary predicate* is the sign  $-$ ,  $0$ , or  $+$  of a homogeneous multivariate polynomial whose arguments are a subset of the input variables. The degree of an elementary predicate is defined as the maximum degree of the irreducible factors (over the rationals) of the polynomials that occur in the predicate and that do not have a constant sign. A *predicate* is more generally a boolean function of elementary predicates. Its degree is the maximum degree of its elementary predicates.

The *degree of an algorithm*  $A$  is defined as the maximum degree of its predicates.

The *degree of a problem*  $P$  is defined as the minimum degree of any algorithm that solves  $P$ .

In most problems in Computational Geometry,  $d = O(1)$ . However, as  $d$  affects the speed and/or robustness of an algorithm, it is important to measure  $d$  precisely.

In the rest of this paper we consider the degree as an additional measure of algorithmic complexity. Note that qualitatively degree and memory requirement are similar, since the arithmetic capabilities demanded by a given degree must be available, albeit they may be never resorted to in an actual run of the algorithm (since the input may be such that predicates may be evaluated reliably with lower precision).

We will consider two arithmetic models. In the first one, called the *predicate arithmetic of degree  $d$* , the only numerical operations that are allowed are the evaluations of predicates of degree at most  $d$ . Algorithms of degree  $d$  can therefore be implemented exactly in the predicate arithmetic model of degree  $d$ . This model is motivated by recent results that show that evaluating the sign of a polynomial expression may be faster than computing its value (see [ABD<sup>+</sup>97, BY97, BEPP97, Cla92, She96]). This model is however very conservative since the non-availability of the arithmetics required by a predicate is assimilated to an entirely random choice of the value of the predicate.

The second model, called the *exact arithmetic of degree  $d$* , is more demanding. It assumes that values (and not just signs) of polynomials of degree at most  $d$  be represented and computed exactly (i.e., roughly as  $d$ -fold precision integers). However, higher-degree operations (e.g., a multiplication one of whose factors is a  $d$ -fold precision integer) are appropriately rounded. Typical rounding is rounding to the nearest representable number but less accurate rounding can also be adequate as will be demonstrated later. Let  $A$  be an algorithm of degree  $d$ . If each input data is a  $b$ -bit integer, the size of each monomial occurring in a predicate of  $A$  is upper bounded by  $2^{(b+1)d}$ . Moreover, let  $v$  be the number of variables that occur in a predicate; for most geometric problems and, in particular, for those considered in this paper,  $v$  is a small constant. It follows that an algorithm of degree  $d$  requires precision  $p \leq d(b + 1 + \log v)$  in the exact arithmetic model of degree  $d$ .

## 4 The predicates for Pb1-Pb3

We use the following notations. The coordinates of point  $A_i$  are denoted  $x_i$  and  $y_i$ .  $A_i <_x A_j$  means that the  $x$ -coordinate of point  $A_i$  is smaller than the  $x$ -coordinate of point  $A_j$ . Similarly for  $<_y$ .  $[A_i A_j]$  denotes the line segment whose left and right endpoints are respectively  $A_i$  and  $A_j$ , while  $(A_i A_j)$  denotes the line containing  $[A_i A_j]$ .  $A_i <_y (A_j A_k)$  means that point  $A_i$  lies below line  $(A_j A_k)$ .

### 4.1 Predicates

Pb1 only requires that we check if two line segments intersect (Predicate 2' below).

Pb2 requires in addition the ability to sort intersection points along a line segment (Predicate 4 below).

Pb3 requires the ability to execute all the predicates listed below :

**Predicate 1** :  $A_0 <_x A_1$

**Predicate 2** :  $A_0 <_y (A_1 A_2)$

**Predicate 2'** :  $[A_0 A_1] \cap [A_2 A_3] \neq \emptyset$

**Predicate 3** :  $A_0 <_x [A_1 A_2] \cap [A_3 A_4]$

**Predicate 4** :  $[A_0 A_1] \cap [A_2 A_3] <_x [A_0 A_1] \cap [A_4 A_5]$

**Predicate 5** :  $[A_0 A_1] \cap [A_2 A_3] <_x [A_4 A_5] \cap [A_6 A_7]$

Two other predicates appear in some algorithms that report segment intersections :

**Predicate 3'** :  $(x = x_0) \cap [A_1 A_2] <_y (x = x_0) \cap [A_3 A_4]$

**Predicate 4'** :  $[A_0 A_1] \cap [A_2 A_3] <_y (A_4 A_5)$

## 4.2 Algebraic degree of the predicates

We now analyze the algebraic degree of the predicates introduced above.

**Proposition 1** *The degree of Predicates  $i$  and  $i'$  ( $i = 1, \dots, 5$ ) is  $i$ .*

**Proof.** We first provide explicit formulae for the predicates.

**Predicate 2 (orientation test)** :  $A_0 <_y (A_1 A_2)$

Evaluating Predicate 2 is equivalent to evaluating the sign of :

$$\text{orient}(A_0 A_1 A_2) = \begin{vmatrix} x_0 & x_1 & x_2 \\ y_0 & y_1 & y_2 \\ 1 & 1 & 1 \end{vmatrix} = \begin{vmatrix} x_1 - x_0 & x_2 - x_0 \\ y_1 - y_0 & y_2 - y_0 \end{vmatrix}$$

**Predicate 2' (intersection test)** :  $[A_0 A_1] \cap [A_2 A_3] \neq \emptyset$

Predicate 2' can be implemented as follows for the case  $A_0 <_x A_2$  (otherwise we exchange the roles of  $[A_0 A_1]$  and  $[A_2 A_3]$ ) :

```

if  $A_3 <_x A_1$ 
  if  $\text{orient}(A_0A_1A_2) \times \text{orient}(A_0A_1A_3) < 0$  then return true
  else return false
else
  if  $\text{orient}(A_0A_1A_2) \times \text{orient}(A_2A_3A_1) > 0$  then return true
  else return false

```

Therefore, in all cases, Predicate 2' reduces to Predicate 2.

The intersection point  $I = [A_iA_j] \cap [A_kA_l]$  is given by :

$$I = A_i + (A_j - A_i) \frac{N_I}{D_I} \quad (1)$$

with  $N_I = \text{orient}(A_iA_kA_l)$  and

$$\begin{aligned}
 D_I &= \begin{vmatrix} x_j - x_i & x_k - x_l \\ y_j - y_i & y_k - y_l \end{vmatrix} \\
 &= \text{orient}(A_iA_jA_k) - \text{orient}(A_iA_jA_l) \\
 &\stackrel{\text{def}}{=} \text{Orient}(A_iA_jA_kA_l)
 \end{aligned}$$

**Predicate 4' :**  $[A_2A_3] \cap [A_4A_5] <_y (A_0A_1)$

Predicate 4 reduces to evaluating  $\text{orient}(I, A_0, A_1)$  where  $I$  is the intersection of  $[A_2A_3]$  and  $[A_4A_5]$ . It follows from (1) that this is equivalent to evaluating the sign of  $\text{orient}(A_2A_3A_4A_5)$  and of

$$\text{orient}(A_0A_1A_2) \times \text{Orient}(A_2A_3A_4A_5) - \text{orient}(A_2A_4A_5) \times \text{Orient}(A_0A_1A_2A_3)$$

**Predicates 3-5 :**

Explicit formulas for Predicates 3, 4 and 5 can be immediately deduced from the coordinates of the intersection points  $I = [A_0A_1] \cap [A_2A_3]$  and  $J = [A_4A_5] \cap [A_6A_7]$  which are given by (1). If  $A_4A_5 = A_0A_1$ , it is clear from Equation (1) that  $(x_1 - x_0)$  is a common factor of  $x_I - x_0$  and  $x_J - x_0$ .

**Predicate 3' :**  $(x = x_0) \cap [A_1A_2] <_y (x = x_0) \cap [A_3A_4]$

If  $[A_1A_2]$  and  $[A_3A_4]$  do not intersect, Predicate 3' reduces to Predicate 2. Otherwise, it reduces to Predicate 3.

The above discussion shows that the degree of predicates  $i$  and  $i'$  is *at most*  $i$ . To establish that it is *exactly*  $i$ , we have shown in Appendix that the polynomials of Predicates 2, 3, 4' and 5, as well as the factor other than  $(x_1 - x_0)$  involved in Predicate 4, are irreducible over the rationals.

It follows that the proposition is proved for all predicates.

□

Recalling the requirements of the various problems in terms of predicates, we have :

**Proposition 2** *The algebraic degrees of Pb1, Pb2 and Pb3 are respectively 2, 4 and 5.*

### 4.3 Implementation of Predicate 3 with exact arithmetic of degree 2

As it will be useful in the sequel, we show how to implement Predicate 3 (of degree 3) under the exact arithmetic of degree 2. From Equation (1) we know that Predicate 3 can be written as :

$$(x_0 - x_1) \text{Orient}(A_1A_2A_3A_4) < (x_2 - x_1) \text{orient}(A_1A_3A_4). \quad (2)$$

For convenience, let  $A = \text{orient}(A_1A_3A_4)$ ,  $B = \text{Orient}(A_1A_2A_3A_4)$ ,  $x_{01} = x_0 - x_1$  and  $x_{21} = x_2 - x_1$ .

We stipulate to employ floating point arithmetic conforming to the IEEE 754 standard [Gol91]. In this standard, simple precision allows us to represent  $b$ -bit integers with  $b = 24$  and double precision allows us to represent  $b'$ -bit integers with  $b' = 2b + 5 = 53$ . The coordinates of the endpoints of the segments are represented in simple precision and the computations are carried out in double precision. We denote  $\oplus$ ,  $\otimes$  and  $\oslash$  the rounded arithmetic operations  $+$ ,  $\times$  and  $/$ . In the IEEE 754 standard, all four arithmetic operations are exactly rounded, i.e., the computed result is the floating point number that best approximates the exact result.

Since  $\text{Orient}(A_1 A_2 A_3 A_4)$  and  $\text{orient}(A_1 A_3 A_4)$  are polynomials of degree 2, the four terms  $x_{01}$ ,  $x_{21}$ ,  $A$  and  $B$  in Inequality (2) can be computed exactly and the following monotonicity property is a direct consequence of exact rounding of arithmetic operations :

**Monotonicity property 1 :**  $x_{01} \otimes B < x_{21} \otimes A \implies x_{01} \times B < x_{21} \times A$ .

This implies that the comparison between the two computed expressions  $x_{01} \otimes B$  and  $x_{21} \otimes A$  evaluates Predicate 3 except when these numbers are equal.

In most algorithms, an intersection point is compared with many endpoints. It is therefore more efficient to compute and store the coordinates of each intersection point and to perform comparisons with the computed abscissae rather than evaluating (2) repeatedly. We now illustrate an effective rounding procedure of the  $x$ -coordinates of intersection points.

**Lemma 3** *If the coordinates of the endpoints of the segments are simple precision integers, then the abscissa  $x_I$  of an intersection point can be rounded to one of its two nearest simple precision integers using only double precision floating point arithmetic operations.*

**Proof.** We assume that the coordinates of the endpoints of the segments are represented as  $b$ -bit integers stored as simple precision floating point numbers. The computations are carried out in double precision.

The rounded value  $\tilde{x}_I$  of  $x_I$  is given by :

$$\tilde{x}_I = \lfloor ((x_{21} \otimes A) \odot B) \rfloor \oplus x_1,$$

where  $\lfloor X \rfloor$  denotes the integer nearest to  $X$  (with any tie breaking rule). If  $\varepsilon = 2^{-b'}$  is a strict bound to the modulus of the relative error of all arithmetic operations,  $\tilde{X} = (x_{21} \otimes A) \odot B$  satisfies the following relations :

$$\frac{x_{21}A}{B}(1 - 2\varepsilon) \approx \frac{x_{21}A}{B}(1 - \varepsilon)^2 < \tilde{X} < \frac{x_{21}A}{B}(1 + \varepsilon)^2 \approx \frac{x_{21}A}{B}(1 + 2\varepsilon).$$

As  $\frac{x_{21}A}{B} = x_I - x_1 \leq 2^{b+1}$ , we obtain

$$|(x_{21} \otimes A) \odot B - x_{21}A/B)| \lesssim 2^{b+1}22^{-b'} = 2^{-b-3} \ll 1.$$

We round  $\tilde{X}$  to the nearest integer  $\lfloor \tilde{X} \rfloor$ . Since  $\lfloor \tilde{X} \rfloor$  and  $x_1$  are  $(b+1)$ -bit integers, there is no error in the addition. Therefore,  $\tilde{x}_I$  is a  $(b+2)$ -bit integer and the absolute error on  $\tilde{x}_I$  is smaller than 1.  $\square$

It follows that, under the hypothesis of the lemma, if  $E$  is an endpoint,  $I$  is an intersection point and  $\tilde{I}$  the corresponding rounded point, the following monotonicity property holds :

$$\begin{aligned} \text{Monotonicity property 2 :} \quad & \tilde{I} <_x E \implies I <_x E \\ & E <_x \tilde{I} \implies E <_x I \end{aligned}$$

Notice that the monotonicity property does not necessarily hold for two intersection points.

**Remark 1.** A result similar to Lemma 3 has been obtained by Priest [Pri92] for points with floating point coordinates. More precisely, if the endpoints of the segments are represented as simple precision floating-point numbers, Priest [Pri92] has proposed a rather complicated algorithm that uses double precision floating point arithmetic and rounds  $x_I$  to the nearest simple precision floating point number. This stronger result also implies the monotonicity property.

## 4.4 Algebraic degree of the algorithms

The naive algorithm for detecting segment intersections (Pb1) evaluates  $\Theta(n^2)$  Predicates  $2'$  and thus is of degree 2, which is degree-optimal by the proposition above. Although the time-complexity of the naive algorithm is worst-case optimal, since  $0 \leq k \leq \frac{1}{2}n(n-1)$ , it is worth looking for an output sensitive algorithm whose complexity depends on both  $n$  and  $k$ . Chazelle and Edelsbrunner [CE92] have shown that  $\Omega(n \log n + k)$  is a lower bound for Pb1, and therefore also for Pb2 and Pb3. A very recent algorithm of Balaban [Bal95] solves Pb1 optimally in  $O(n \log n + k)$  time using  $O(n)$  space. This algorithm does not solve Pb2 nor Pb3 and, since it uses Predicate  $3'$ , its degree is 3.



Pb2 can be solved by first solving Pb1 and subsequently sorting the reported intersection points along each segment. This can easily be done in  $O((n+k)\log n)$  time by a simple algorithm of degree 4 using  $O(n)$  space. A direct (and asymptotically more efficient) solution to Pb2 has been proposed by Chazelle and Edelsbrunner [CE92]. Its time complexity is  $O(n\log n + k)$  and it uses  $O(n+k)$  space. Their algorithm, which constructs the arrangement of the segments, is of degree 4.

A solution to Pb3 can be deduced from a solution to Pb2 in  $O(n+k)$  time using a very complicated algorithm of Chazelle [Cha91]. A deterministic and simple algorithm due to Bentley and Ottmann [BO79] solves Pb3 in  $O((n+k)\log n)$  time, which is slightly suboptimal, using  $O(n)$  space. This classical algorithm uses the sweep-line paradigm and evaluates  $O((n+k)\log n)$  predicates of all types discussed above, and therefore has degree 5. Incremental randomized algorithms [CS89, BDS<sup>+</sup>92] construct the trapezoidal map of the segments and thus solve Pb3 and have degree 5. Their time complexity and space requirements are optimal (though only as expected performances).

In this paper, we revisit the Bentley-Ottmann algorithm and show that a variant of degree 3 (instead of 5) can solve Pb 1 with no sacrifice of performance (Section 6.1). Although this algorithm is slightly suboptimal with respect to time complexity, it is much simpler than Balaban's algorithm. We also present two variants of the sweep line algorithms. The first one (Section 6.2) uses only predicates of degree at most 2 and applies to the restricted but important special case where the segments belong to two subsets of non intersecting segments. The second one (Section 7) uses the exact arithmetic of degree 2. All these results are based on a (non-efficient) lazy sweep-line algorithm (to be presented in Section 5) that solves Pb1 by evaluating predicates of degree at most 2.

**Remark 2.** When the segments are not in general position, the number  $s$  of intersection points can be less than the number  $k$  of intersecting pairs. In the extreme,  $s = 1$  and  $k = n(n-1)/2$ . Some algorithms can be adapted so that their time complexities depend on  $s$  rather than  $k$  [BMS94]. However, a lower bound on the degree of such algorithms is 4 since they must be able to detect if two intersection points are identical, therefore to evaluate Predicate 4'.

## 5 A lazy sweep-line algorithm

Let  $\mathcal{S}$  be a set of  $n$  segments whose endpoints are  $E_1, \dots, E_{2n}$ . For a succinct review, the standard algorithm first sorts  $E_1, \dots, E_{2n}$  by increasing  $x$ -coordinates and stores the sorted points in a priority queue  $X$ . Next, the algorithm begins sweeping the plane with a vertical line  $L$  and maintains a data structure  $Y$  that represents a subset of the segments of  $\mathcal{S}$  (those currently intersected by  $L$ , ordered according to the ordinates of their intersections with  $L$ ). Intersections are detected in correspondence of adjacencies created in  $Y$ , either by insertion/deletion of segment endpoints, or by order exchanges at intersections. An intersection, upon detection, is inserted into  $X$  according to its abscissa. Of course, a given intersection may be detected several times. Multiple detections can be resolved by performing a preliminary membership test for an intersection in  $X$  and omitting insertion if the intersection has been previously recorded. We stipulate to use another policy to resolve multiple detections, namely to remove from  $X$  an intersection point  $I$  whose associated segments are no longer adjacent in  $Y$ . Event  $I$  will be reinserted in  $X$  when the segments become again adjacent in  $Y$ . This policy has also the advantage of reducing the storage requirement of Bentley-Ottmann's algorithm to  $O(n)$  [Bro81].

We now describe a modification of the sweep-line algorithm that does not need to process the intersection points by increasing  $x$ -coordinates. First, the algorithm sorts the endpoints of the segments by increasing  $x$ -coordinates into an array  $X$ . Let  $E_1, \dots, E_{2n}$  be the sorted list of endpoints. The algorithm uses also a dictionary  $Y$  that stores an ordered subset of the line segments.

The algorithm rests on the definitions of *active* and *prime* pairs to be given below. We need the following notations. We denote by  $L(E_i)$  the vertical line passing through  $E_i$ . Slab  $(E_i, E_{i+1})$  denotes the open vertical slab bounded by  $L(E_i)$  and  $L(E_{i+1})$  and  $(E_i, E_{i+1}]$  denotes the semiclosed slab obtained by adjoining line  $L(E_{i+1})$  to the open slab  $(E_i, E_{i+1})$ . For two segments  $S_k$  and  $S_l$ , we denote by  $A_{kl}$  their rightmost left endpoint, by  $B_{kl}$  their leftmost right endpoint and by  $I_{kl}$  their common point when they intersect (see Figure 2). In addition,  $W_{kl}$  denotes the set of segment endpoints that belong to the (closed) region bounded by the vertical lines  $L(A_{kl})$  and  $L(B_{kl})$  and by the two segments (a double wedge). We denote by  $E_{kl}$  the most recently processed element of  $W_{kl}$  and by  $F_{kl}$  the element of  $W_{kl}$  to be processed next. (Note that  $E_{kl}$  and  $F_{kl}$  are always defined, since they may res-

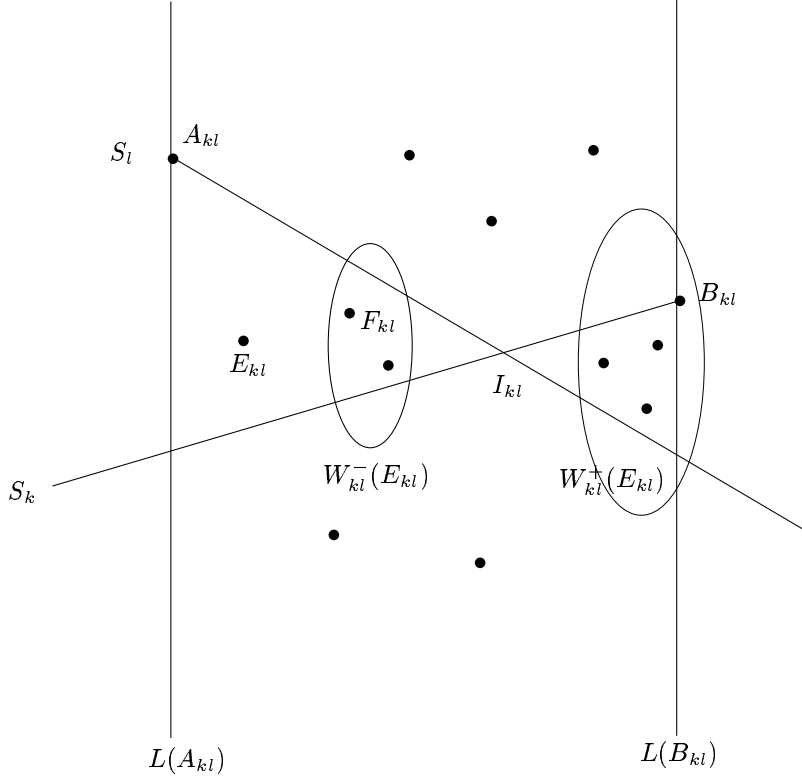


Figure 2: For the definitions of  $W_{kl}^-(E_{kl})$  and  $W_{kl}^+(E_{kl})$ .

pectively coincide with  $A_{kl}$  and  $B_{kl}$ .) Lastly, we define sets  $W_{kl}^+(E_{kl})$  and  $W_{kl}^-(E_{kl})$  as follows. If  $S_k$  and  $S_l$  do not intersect,  $W_{kl}^+(E_{kl}) = \emptyset$  and  $W_{kl}^-(E_{kl})$  consists of all points  $E \in W_{kl}$ ,  $E_{kl} \leq_x E$ . Otherwise, an endpoint  $E \in W_{kl}$  belongs to  $W_{kl}^+(E_{kl})$  (resp., to  $W_{kl}^-(E_{kl})$ ) if  $E_{kl} \leq_x E$  and if the slab  $(E_{kl}, E]$  does (resp., does not) contain  $I_{kl}$ .

**Definition 4** Let  $(S_k, S_l)$  be a pair of segments and assume without loss of generality that  $S_k \cap L(E_{kl}) <_y S_l \cap L(E_{kl})$ . The pair is said to be active if the following conditions are satisfied :

1.  $S_k$  and  $S_l$  are adjacent in  $Y$ ,
2.  $S_k < S_l$  in  $Y$ ,
3.  $F_{kl} \in W_{kl}^+(E_{kl})$  (Emptiness condition).

Observe that the emptiness condition implies that the segments intersect.

**Definition 5** A pair of active segments  $(S_k, S_l)$  is said to be *prime* if the next element to be processed belongs to  $W_{kl}$  (therefore is  $F_{kl} \in W_{kl}^+(E_{kl})$ ).

We say that an intersection (or an active pair) is *processed* when the algorithm reports it, exchanges its members in  $Y$ , and updates the set of active pairs of segments accordingly. After sorting the segment endpoints, the lazy sweep-line algorithm works as follows. While there are active pairs, the algorithm selects any of them and processes it. When there are no more active pairs the algorithm proceeds to the next endpoint, i.e., it inserts or removes the corresponding segment in  $Y$  (as appropriate) and updates the set of active pairs. Actually, the next endpoint may be accessed once there are no more prime pairs (a subset of the active pairs), without placing any deadline on the processing of the current active pairs as long as they are not prime. When there are no more active pairs and no more endpoints to be processed, the algorithm stops.

For reasons that will be clear below, the algorithm will not be specified in the finest detail, since several different implementations are possible. The main issue is the efficient detection of active and prime pairs and several solutions, all consistent with the described lazy algorithm, will be discussed in Sections 6 and 7.

It should be noted that deciding if a pair of intersecting segments is active or prime reduces to the evaluation of Predicates 2 only. Therefore, the algorithm just described involves only Predicates 1 and 2 and is of degree 2 by Proposition 1. It should also be pointed out that two intersection points or even an intersection point and an endpoint won't necessarily be processed in the order of their  $x$ -coordinate. As a consequence,  $Y$  won't necessarily represent the ordered set of segments intersecting some vertical line  $L$  (as in the standard algorithm).

Let  $Y^-(E_i)$  and  $Y^+(E_i)$  be, respectively, snapshots of the data structure  $Y$  immediately before and after processing event  $E_i$ ,  $i = 1, \dots, 2n$ . Observe that  $Y^-(E_i)$

and  $Y^+(E_i)$  differ only by the segment  $S$  that has  $E_i$  as one of its endpoints. Let  $Y(E_i) = Y^-(E_i) \cup Y^+(E_i)$ . The order relation in  $Y$  is denoted by  $<$ .

**Theorem 6** *If Predicates 1 and 2 are evaluated exactly, the described lazy sweep-line algorithm will detect all pairs of segments that intersect.*

**Proof :** The algorithm (correctly) sorts the endpoints  $E_1, \dots, E_{2n}$  of the segments by increasing  $x$ -coordinates into  $X$ . Consequently, the set of segments that intersect  $L(E)$  and the set of segments in  $Y(E)$  coincide for any endpoint  $E$ . The proof of the theorem is articulated now as two lemmas and their implications.

**Lemma 7** *Two segments have exchanged their positions in  $Y$  if and only if they intersect and if the pair has been processed.*

**Proof.** Let us consider two segments, say  $S_k$  and  $S_l$ , that do not intersect. Without loss of generality, let  $S_k < S_l$  in  $Y(A_{kl})$ . Assume for a contradiction that  $S_l < S_k$  in  $Y^-(B_{kl})$ .  $S_k$  and  $S_l$  cannot exchange their positions because they will never form an active pair. Therefore,  $S_l < S_k$  in  $Y^-(B_{kl})$  can only happen if there exists a segment  $S_m$ ,  $m \neq k, l$ , that at some stage in the execution of the algorithm was present in  $Y$  together with  $S_k$  and  $S_l$  and caused one of the following two events to occur :

1.  $S_m > S_l$  and the positions of  $S_m$  and  $S_k$  are exchanged in  $Y$
2.  $S_m < S_k$  and the positions of  $S_m$  and  $S_l$  are exchanged in  $Y$ .

In both cases, the segments that exchange their positions are not consecutive in  $Y$ , violating Condition 1 of Definition 4.

Therefore, two segments can exchange their positions in  $Y$  only if they intersect and this can only happen when their intersection is processed. Moreover, when the intersection has been processed, the segments are no longer active and cannot exchange their positions a second time.  $\square$

We say that an endpoint  $E$  of  $S$  is *correctly placed* if and only if the subset of the segments that are below  $E$  (in the plane) coincides with the subset of the segments  $< S$  in  $Y(E)$ , i.e.,

$$\forall S' \in Y(E), \quad S' < S \iff S' \cap L(E) <_y E = S \cap L(E).$$

Otherwise,  $E$  is said to be *misplaced*.

**Lemma 8** *If Predicates 1 and 2 are evaluated exactly, both endpoints of every segment are correctly placed.*

**Proof.** Assume, for a contradiction, that  $E$  of  $S$  is the *first* endpoint to be misplaced by the algorithm.

**Claim 1**  *$E$  can be misplaced only if there exist at least two intersecting segments  $S_k$  and  $S_l$  in  $Y^-(E)$  such that  $E$  belongs to  $W_{kl}$ .*

**Proof.** First recall that Predicate 2 is the only predicate involved in placing  $S$  in  $Y$ .

Consider first the case where  $E$  is the left endpoint of  $S$ . For any pair  $(S_k, S_l)$  of segments in  $Y^-(E)$ , for which  $S$  is either above or below both  $S_k$  and  $S_l$ , the relative position of  $S$  with respect to  $S_k$  and  $S_l$  does not depend on the relative order of  $S_k$  and  $S_l$  in  $Y^-(E)$ . Therefore,  $E$  will be correctly placed in  $Y^+(E)$ .

If  $E$  is a right endpoint, since the left endpoint of  $S$  has been correctly placed,  $E$  can only be misplaced if there exists a segment  $S' \in Y^-(E)$  intersecting  $S$  such that the relative positions of  $S$  and  $S'$  in  $Y^+(A)$  and  $Y^-(E)$  are the same ( $A$  is the rightmost left endpoint of  $S$  and  $S'$ ) while this change has not been executed by the algorithm in  $Y$ , i.e.  $S$  and  $S'$  have the same relative position in  $Y^+(A)$  and  $Y^-(E)$ . In that case,  $E = B_{kl} \in W_{kl}$  for  $S_k = S$  and  $S_l = S'$ .  $\square$

Let  $S_k$  and  $S_l$  be two segments of  $Y^-(E)$  such that  $E \in W_{kl}$ . Assume without loss of generality that  $S_k < S_l$  in  $Y(E_{kl})$ . Since  $E$  is the first endpoint to be misplaced, we have  $S_k \cap L(E_{kl}) <_y S_l \cap L(E_{kl})$ . For convenience, we will say that two segments  $S_p$  and  $S_q$  have been *exchanged* between  $E'$  and  $E''$ , for two events  $E'$  and  $E''$ , if  $S_p < S_q$  in  $Y^+(E')$  and  $S_q < S_p$  in  $Y^-(E'')$ .

The case where  $E \in W_{kl}^-(E_{kl})$  cannot cause any difficulty since  $S_k$  and  $S_l$  cannot be active between  $E_{kl}$  and  $E$  and therefore  $S_k$  and  $S_l$  cannot be exchanged between  $E_{kl}$  and  $E$ , which implies that  $E$  is correctly placed with respect to  $S_k$  and  $S_l$ .

The case where  $E \in W_{kl}^+(E_{kl})$  is more difficult.  $E$  is not correctly placed only if  $S_k$  and  $S_l$  are not exchanged between  $E_{kl}$  and  $E$ , i.e.,  $S_k < S_l$  in both  $Y^+(E_{kl})$  and  $Y^-(E)$ . We shall prove that this is not possible and therefore conclude that  $S$  is correctly placed into  $Y$  in this case as well.

Assume, for a contradiction, that  $S_k$  and  $S_l$  have not been exchanged between  $E_{kl}$  and  $E$ . As  $E$  belongs to  $W_{kl}^+(E_{kl})$ ,  $S_k$  and  $S_l$  cannot be adjacent in  $Y^-(E)$  since otherwise they would constitute a prime pair and they would have been exchanged. Let  $(S_k = S_{k_0}, S_{k_1}, \dots, S_{k_r}, S_{k_{r+1}} = S_l)$  ( $r \geq 1$ ) be the subsequence of segments of  $Y^-(E)$  occurring between  $S_k$  and  $S_l$ . Assume that  $(S_k, S_l)$  is a pair of intersecting segments such that  $E \in W_{kl}^+(E_{kl})$ , for which  $r$  is *minimal* (i.e., for which the above subsequence is shortest). A direct consequence of this definition is the following :

**Claim 2** *If  $S_{k_i}$  intersects  $S_k$ ,  $E$  cannot belong to  $W_{kk_i}^+(E_{kk_i})$ . Similarly if  $S_{k_i}$  intersects  $S_l$ ,  $E$  cannot belong to  $W_{lk_i}^+(E_{lk_i})$ .*

We distinguish the two following cases:

(i)  $E <_y S_{k_i}$ .  $S_{k_i}$  intersects  $S_l$  to the left of  $L(E)$  because  $S_{k_i}$  and  $S_l$  are correctly placed in  $Y^+(E_{k_i l})$  ( $E$  is the first endpoint to be misplaced) and misplaced in  $Y^-(E)$ . It then follows from Claim 2 that  $E \in W_{k_i l}^-(E_{k_i l})$  and therefore  $S_l \cap L(E_{k_i l}) <_y S_{k_i} \cap L(E_{k_i l})$  since  $S_l \cap L(E) <_y S_{k_i} \cap L(E)$ . As  $E$  is the first endpoint to be misplaced, we have  $S_l < S_{k_i}$  in  $Y^+(E_{k_i l})$ . Moreover, since the pair  $(S_{k_i}, S_l)$  is not active (between  $E_{k_i l}$  and  $E$ ), and therefore cannot be exchanged, the same inequality holds in  $Y^-(E)$ , which contradicts the definition of  $S_{k_i}$ .

(ii)  $S_{k_i} <_y E$ . This case is entirely symmetric to the previous one. It suffices to exchange the roles of  $S_k$  and  $S_l$  and to reverse the relations  $<$  and  $<_y$ .

Since a contradiction has been reached in both cases, the lemma is proved.  $\square$

We now complete the proof of the theorem. The previous lemma implies that the endpoints are correctly processed. Indeed let  $E_i$  be an endpoint. If  $E_i$  is a right endpoint, we simply remove the corresponding segment from  $Y$  and update the set

of active segments. This can be done exactly since predicates of degree  $\leq 2$  are evaluated correctly. If  $E_i$  is a left endpoint, it is correctly placed in  $Y$  on the basis of the previous lemma.

The lemma also implies that all pairs that intersect have been processed. Indeed if  $S_p$  and  $S_q$  are two intersecting segments such that  $S_p \cap L(A_{pq}) <_y S_q \cap L(A_{pq})$  and  $S_q \cap L(B_{pq}) <_y S_p \cap L(B_{pq})$ , the lemma shows that  $S_p < S_q$  in  $Y^+(A_{pq})$  and  $S_p > S_q$  in  $Y^-(B_{pq})$ , which implies that the pair  $(S_p, S_q)$  has been processed (Lemma 7).

This concludes the proof of the theorem.  $\square$

**Remark 3.** Handling the degenerate cases does not cause any difficulty and the previous algorithm will work with only minor changes. For the initial sorting of the endpoints, we can take any order relation compatible with the order of their  $x$ -coordinates, e.g., the lexicographic order.

**Remark 4.** Theorem 6 applies directly to pseudo-segments, i.e., curved segments that intersect in at most one point. Lemmas 7 and 8 also extend to the case of monotone arcs that may intersect in more than one point. To be more precise, in Lemma 7, we have to replace “intersect” by “intersect an odd number of times”; Lemma 8 and its proof are unchanged provided that we define  $W_{kl}^+(E_{kl})$  (resp.,  $W_{kl}^-(E_{kl})$ ) as the subset of  $W_{kl}$  consisting of the endpoints  $E$ ,  $E_{kl} <_x E$ , such that the slab  $(E_{kl}, E)$  contains an odd number (resp. none or an even number) of intersection points. As a consequence, the lazy algorithm (which still uses only Predicates 1, 2 and 2') will detect all pairs of arcs that intersect an odd number of times.

**Remark 5.** For line segments, observe that checking whether a pair of segments is active does not require to know (and therefore to maintain)  $E_{kl}$ . In fact, we can replace Condition 3 in the definition of an active pair by the following condition :  $S_l <_y F_{kl} <_y S_k$  and  $I_{kl} <_x F_{kl}$ . If  $E_{kl} <_x I_{kl}$ , the two definitions are identical and if  $I_{kl} <_x E_{kl}$ , the pair is not active since, by Lemma 8, Condition 2 of the definition won't be satisfied.



## 6 Efficient implementations of the lazy algorithm in the predicate arithmetic model

The difficulty to efficiently implement the lazy sweep-line algorithm using only predicates of degree at most 2 (i.e., in the predicate arithmetic model of degree 2) is due to verification of the emptiness condition in Definition 4 and of the condition expressed by Definition 5. One can easily check that various known implementations of the sweep achieve straightforward verification of the emptiness condition by introducing algorithmic complications. The following subsection describes an efficient implementation of the lazy algorithm in the predicate arithmetic model of degree 3. The second subsection improves on this result in a special but important instance of Pb1, namely the case of two sets of non-intersecting segments. The algorithm presented there uses only predicates of degree at most 2.

### 6.1 Robustness of the standard sweep-line algorithm

We shall run our lazy algorithm under the predicate arithmetic model of degree 3. We then have the capability to correctly compare the abscissae of an intersection and of an endpoint. We refine the lazy algorithm in the following way. Let  $E_i$  be the last processed endpoint and let  $E_{i+1}$  be the endpoint to be processed next. An active pair  $(S_k, S_l)$  that occurs in  $Y$  between  $Y(E_i)$  and  $Y^-(E_{i+1})$  will be processed if and only if its intersection point  $I_{kl}$  lies to the right of  $E_i$  and not to the right of  $E_{i+1}$ . As the slab is free of endpoints in its interior, any pair of adjacent segments encountered in  $Y$  (between  $Y(E_i)$  and  $Y^-(E_{i+1})$ ) and that intersect within the slab is active. Moreover the intersection points of all prime pairs belong to the slab. It follows that this instance of the lazy algorithm need not explicitly check whether a pair is active or not, and therefore is much more efficient than the lazy algorithm of Section 5. This algorithm is basically what the original algorithm of Bentley-Ottmann \* becomes when predicates of degree at most 3 are evaluated (recall that the standard algorithm requires the capability to correctly execute predicates of degree up to 5).

\* With the policy concerning multiple detections of intersections that is stipulated at the beginning of Section 5.

We therefore conclude with the following theorem :

**Theorem 9** *If Predicates 1, 2 and 3 are evaluated exactly, the standard sweep-line algorithm will solve Pb1 in  $O((n + k) \log n)$  time.*

It is now appropriate to briefly comment on the implementation details of the just described modified algorithm. Data structure  $Y$  is implemented as usual as a dictionary. Data structure  $X$ , however, is even simpler than in the standard algorithm (which uses a priority queue with dictionary access). Here  $X$  has a primary component realized as a static dynamic search tree on the abscissae of the endpoints  $E_1, \dots, E_{2n}$ . Leaf  $E_j$  points to a secondary data structure  $\mathcal{L}(E_j)$  realized as a conventional linked list, containing (in an arbitrary order) adjacent intersecting pairs in slab  $(E_j, E_{j+1}]$ . Remember that each intersecting pair of  $\mathcal{L}(E_j)$  is active. Insertion into  $\mathcal{L}(E_j)$  is performed at one of its ends and so is access for reporting (when the plane sweep reaches slab  $(E_j, E_{j+1}]$ ). To effect constant-time removal of a pair  $(S_h, S_k)$  due to loss of adjacency, however, a pointer could be maintained from a fixed member of the pair (say, the one with smaller left endpoint in lexicographic order) to the record stored in  $X$  (notice that the described insertion/removal policy, which guarantees that the elements of  $X$  correspond to pairs of adjacent segments in  $Y$ , ensures that at most one record is to be pointed to by any member of  $Y$ ). We finally observe that a segment adjacency arising in  $Y$  during the execution of the algorithm must be tested for intersection; however, an intersecting pair of adjacent segments is eligible for insertion into  $X$  only as long as the plane sweep has not gone beyond the slab containing the intersection in question. As regards the running time, beside the initial sorting of the endpoints and the creation of the corresponding primary tree in time  $O(n \log n)$ , it is easily seen that each intersection uses  $O(\log n)$  time (amortized), thereby achieving the performance of the standard algorithm.

Finally, we note that if only predicates of degree  $\leq 2$  are evaluated correctly, the algorithm of Bentley-Ottmann may fail to report the set of intersecting pairs of segments. See Figure 3 for an example.

**Remark 6.** The fact that the sweep line algorithm does not need to sort intersection points had already been observed by Myers [Mye85] and Schorn [Sch91]. Myers does not use it for solving robustness problems but for developing an algorithm with an expected running time of  $O(n \log n + k)$ . Schorn uses this fact to decrease

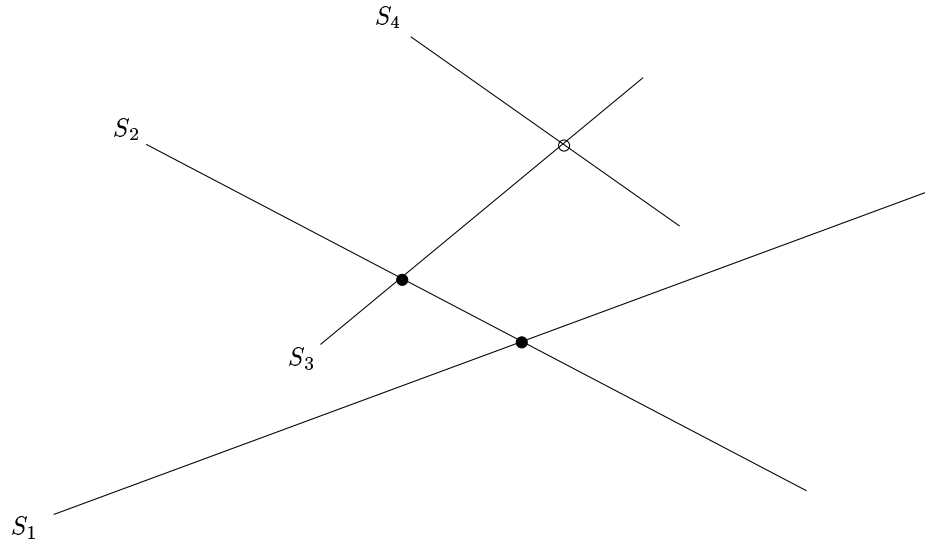


Figure 3: If the computed  $x$ -coordinate of the intersection point of  $S_1$  and  $S_2$  is (erroneously) found to be smaller than the  $x$ -coordinate of the left endpoint of  $S_3$  and if  $S_3$  and  $S_4$  are (correctly) inserted below  $S_2$  and above  $S_1$  respectively, then the intersection between  $S_3$  and  $S_4$  will not be detected. Observe that the missed intersection point can be arbitrarily far from the intersection point involved in the wrong decision.

the precision required by the sweep line algorithm from five fold to three fold, i.e., Schorn's algorithm uses exact arithmetic of degree 3. Using Theorem 6, we will show in Section 7 that double precision suffices.

## 6.2 Reporting intersections between two sets of nonintersecting line segments

In this subsection, we consider two sets of line segments in the plane,  $\mathcal{S}_b$  (the blue set) and  $\mathcal{S}_r$  (the red set), where no two segments in  $\mathcal{S}_b$  (similarly, in  $\mathcal{S}_r$ ) intersect. Such a problem arises in many applications, including the union of two polygons and the merge of two planar maps. We denote by  $n_b$  and  $n_r$  the cardinalities of  $\mathcal{S}_b$  and  $\mathcal{S}_r$ , respectively, and let  $n = n_b + n_r$ .

Mairson and Stolfi [MS88] have proposed an algorithm that works for arcs of curve as well as for line segments. Its time complexity is  $O(n \log n + k)$ , which is optimal, and requires  $O(n + k)$  space ( $O(n)$  in case of line segments). The same asymptotic time-bound has been obtained by Chazelle et al. [CEGS94] and by Chazelle and Edelsbrunner [CE92]. The latter algorithm is not restricted to two sets of nonintersecting line segments. Other algorithms have been proposed by Nievergelt and Preparata [NP82] and by Guibas and Seidel [GS87] in the case where the segments of  $\mathcal{S}_b$  (and  $\mathcal{S}_r$ ) are the edges of a subdivision with convex faces. With the exception of the algorithm of Chazelle et al. [CEGS94], all these algorithms construct the resulting arrangement and therefore have degree 4. The algorithm of Chazelle et al. requires to sort the intersection points of two segments with a vertical line passing through an endpoint. Therefore it is of degree 3.

We propose instead an algorithm that computes all the intersections but not the arrangement. This algorithm uses only predicates of degree  $\leq 2$  and has time complexity  $O((n + k) \log n)$ .

We say that a point  $E_i$  is *vertically visible* from a segment  $S_b \in \mathcal{S}_b$  if the vertical line segment joining  $E_i$  with  $S_b$  does not intersect any other segment in  $\mathcal{S}_b$  (the same notion is applicable to  $\mathcal{S}_r$ ). For two intersecting segments  $S_b \in \mathcal{S}_b$  and  $S_r \in \mathcal{S}_r$ , let  $L$  be a vertical line to the right of  $A_{br}$  such that no other segment intersects  $L$  between  $S_b$  and  $S_r$  (i.e.,  $S_b$  and  $S_r$  are adjacent). We let  $T_{br}$  denote the wedge defined by  $S_b$  and  $S_r$  in the slab between  $L$  and  $L(I_{kl})$ .

Our algorithm is based on the following observation :

**Lemma 10**  *$T_{br}$  contains blue endpoints if and only if it contains a blue endpoint that is vertically visible from  $S_b$ . Similarly,  $T_{br}$  contains red endpoints if and only if it contains a red endpoint vertically visible from  $S_r$ .*

**Proof :** The sufficient condition is trivial, so we only prove necessity. Assume without loss of generality that  $S_r \cap L(A_{br}) <_y S_b \cap L(A_{br})$ . Let  $\mathcal{E}$  be the subset of the blue endpoints that belong to  $T_{br}$  and  $CH^+(\mathcal{E})$  their upper convex hull. Clearly, all vertices of  $CH^+(\mathcal{E})$  are vertically visible from  $S_b$ .  $\square$

Our algorithm has two phases. The second one is the lazy algorithm of Section 5. The first one can be considered as a preprocessing step that will help to efficiently find active pairs of segments.

More specifically, our objective is to develop a quick test of the emptiness condition based on the previous lemma. The preprocessing phase is aimed at identifying the candidate endpoints for their potential belonging to wedges formed by intersecting adjacent pairs. Referring to  $\mathcal{S}_b$  (and analogously for  $\mathcal{S}_r$ ), we first sweep the segments of  $\mathcal{S}_b$  and construct for each blue segment  $S_b$  the lists  $\mathcal{L}_b^-$  and  $\mathcal{L}_b^+$  of blue endpoints that are vertically visible from  $S_b$  and lie respectively below and above  $S_b$ . The sweep takes time  $O(n \log n)$  and the constructed lists are sorted by increasing abscissa. Since there is no intersection point, only predicates of degree  $\leq 2$  are used. The total size of the lists  $\mathcal{L}_b^-$ ,  $\mathcal{L}_b^+$ ,  $\mathcal{L}_r^-$ , and  $\mathcal{L}_r^+$  is  $O(n)$ .

As mentioned above, the crucial point is to decide whether the wedge  $T_{br}$  of a pair of intersecting segments  $S_b$  and  $S_r$  adjacent in  $Y$  contains or not endpoints of other segments. Without any loss of generality we assume that  $S_r < S_b$  in  $Y$ . If such endpoints exist, then  $T_{br}$  contains either a blue vertex of  $CH^+(\mathcal{L}_b^- \cap L^+)$  or a red vertex of  $CH^-(\mathcal{L}_b^+ \cap L^+)$ .

We will show below that, using predicates of degree  $\leq 2$ , the lists can be preprocessed in time  $O(n \log n)$  and that deciding whether  $T_{br}$  contains or not endpoints can be done in time  $O(\log n)$ , using only predicates of degree at most 2.

Assuming for the moment that this primitive is available, we can execute the plane sweep algorithm described earlier. Specifically, we sweep  $\mathcal{S}_b$  and  $\mathcal{S}_r$  simultaneously,

using the lazy sweep-line algorithm of Section 5<sup>†</sup>. Each time we detect a pair of (adjacent) intersecting segments  $S_b$  and  $S_r$ , we can decide in time  $O(\log n)$  whether they are "active" or "not active", using only predicates of degree  $\leq 2$ .

We sum up the results of this section in the following theorem :

**Theorem 11** *Given  $n$  line segments in the plane belonging to two sets  $\mathcal{S}_b$  and  $\mathcal{S}_r$ , where no two segments in  $\mathcal{S}_b$  (analogously, in  $\mathcal{S}_r$ ) intersect, there exists an algorithm of optimal degree 2 that reports all intersecting pairs in  $O((n + k) \log n)$  time using  $O(n)$  storage.*

We now return to the implementation of the primitive described above. Suppose that, for some segment  $S_i$  ( $i = b$  or  $r$ ) we have constructed the upper convex hull  $CH^+(\mathcal{L}_i^- \cap L^+)$ . Then we can detect in  $O(\log n)$  time if an element of a list, say  $\mathcal{L}_b^-$  lies above some segment  $S_r$ . More specifically, we first identify among the edges of  $CH^+(\mathcal{L}_b^- \cap L^+)$  two edges whose slopes are respectively smaller and greater than the slope of  $S_r$ . This only requires the evaluation of  $O(\log |\mathcal{L}_b^-|)$  predicates of degree 2. It then remains to decide whether the common endpoint  $E$  of the two reported edges lies above or below the line containing  $S_r$ . This can be answered by evaluating the orientation predicate  $\text{orient}(E, A_r, B_r)$ .

The crucial requirement of the adopted data structure is the ability to efficiently maintain  $CH^+(\mathcal{L}_i^- \cap L^+)$ . To this purpose, we propose the following solution.

The data structure associated with a list  $\mathcal{L}_i^-$  ( $i = b$  or  $r$ ) represents the upper convex hull  $CH^+(\mathcal{L}_i^-)$  of  $\mathcal{L}_i^-$ . (Similarly, the data structure associated to a list  $\mathcal{L}_i^+$  represents the lower convex hull  $CH^-(\mathcal{L}_i^+)$  of  $\mathcal{L}_i^+$ .) This implies that a binary search on the convex hull slopes uniquely identifies the test vertex. Since the elements of each list are already sorted by increasing  $x$ -coordinates, the data structures can be constructed in time proportional to their sizes, therefore in  $O(n)$  time in total. It can be easily checked that only orientation predicates (of degree 2) are involved in this process. To guarantee the availability of  $CH^+(\mathcal{L}_i^- \cap L^+)$ , we have to ensure that our data structure can efficiently handle the deletion of elements. As elements are deleted in order of increasing abscissa, this can be done in amortized  $O(\log |S|)$  time per deletion [HS90, HS96]. It follows that preprocessing all lists takes  $O(n)$  time, uses  $O(n)$  space and only requires the evaluation of predicates of degree  $\leq 2$ .

<sup>†</sup> We can adopt the policy of processing all active pairs before the next endpoint.

## 7 An efficient implementation of the lazy algorithm under the exact arithmetic model of degree 2

We shall run the lazy algorithm of Section 6.1 under the exact arithmetic model of degree 2, i.e. Predicates 1 and 2 are evaluated exactly but Predicate 3 is implemented with exact arithmetic of degree 2 as explained in Section 4.3. Several intersection points may now be found to have the same abscissa as an endpoint. We refine the lazy algorithm in the following way. Let  $E_i$  be the last processed endpoint and let  $E_{i+1}$  be the endpoint with an abscissa strictly greater than the abscissa of  $E_i$  to be processed next. An active pair  $(S_k, S_l)$  will be processed if and only if its intersection point is found to lie to the right of  $E_i$  and not to the right of  $E_{i+1}$ .

We claim that this policy leads to efficient verification of the emptiness condition. Indeed, the intersections of all prime pairs belong to  $(E_i, E_{i+1}]$ , because  $E_{i+1} \in W_{kl}^+(E_{kl}) \implies I_{kl} \leq_x E_{i+1}$ , and, by the monotonicity property,  $I_{kl}$  will be found to be  $\leq_x E_{i+1}$ .

The crucial observation that drastically reduces the time complexity is the following. A pair of adjacent segments  $(S_k, S_l)$  encountered in  $Y$  between  $Y(E_i)$  and  $Y(E_{i+1}^-)$  whose intersection point is found to lie in slab  $(E_i, E_{i+1}]$  is active if and only if  $E_{i+1} \notin W_{kl}^-(E_{kl})$ . Indeed, since  $I_{kl}$  is found to be  $<_x E_{i+2}$ , the monotonicity property implies that  $I_{kl} <_x E_{i+2}$ . Therefore, when checking if a pair is active, it is sufficient to consider just the next endpoint, not all of them.

Theorem 6 therefore applies. If no two endpoints have the same  $x$ -coordinate, the algorithm can use the same data structures as the algorithm in Section 6.1 and its time complexity is clearly the same as for the Bentley-Ottmann's algorithm. Otherwise, we construct  $X$  on the distinct abscissae of the endpoints and store all endpoints with identical  $x$ -coordinates in a secondary search structure with endpoints sorted by  $y$ -coordinates. This secondary structure will allow to determine if a pair is active in logarithmic time by binary search. We conclude with the following theorem :

**Theorem 12** *Under the exact arithmetic model of degree 2, the instance of the lazy algorithm described above solves Pb1 in  $O((n + k) \log n)$  time.*

## 8 Conclusion

Further pursuing our investigations in the context of the exact-computation paradigm, in this paper we have illustrated that important problems on segment sets (such as intersection report, arrangement, and trapezoidal map), which are viewed as equivalent under the Real-RAM model of computation, are distinct if their arithmetic degree is taken into account. This sheds new light on robustness issues which are intimately connected with the notion of algorithmic degree and illustrates the richness of this new direction of research.

For example, we have shown that the well-known plane-sweep algorithm of Bentley-Ottmann uses more machinery than strictly necessary, and can be appropriately modified to report segment intersections with arithmetic capabilities very close to optimal and no sacrifice in performance.

Another result of our work is that exact solutions of some problems can be obtained even if approximate (or even random) evaluations of some predicates are performed. More specifically, using less powerful arithmetic than demanded by the application, we have been able to compute the vertices of an arrangement of line segments by constructing an arrangement which may be different from the actual one (and may not even correspond to any set of straight line segments) but still have the same vertex set.

Our work shows that the sweep-line algorithm is more robust than usually believed, proposes practical improvements leading to robust implementations, and provides a better understanding of the sweeping line paradigm. The key to our technique is to relax the horizontal ordering of the sweep. This is one step further after similar attempts aimed though at different purposes [Mye85, MS88, EG89].

A host of interesting open questions remain. One such question is to devise an output-sensitive algorithm for reporting segment intersections with optimal time complexity and with optimal algorithmic degree (that is, 2). It would also be interesting to examine the plane-sweep paradigm in general. For example, with regard to the construction of Voronoi diagrams in the plane, one should elucidate the reasons for the apparent gap between the algorithmic degrees of Fortune's plane-sweep solution and of the (optimal) divide-and-conquer and incremental algorithms.



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## Appendix

In connection with reducibility of polynomials over a domain of rationality, we choose the rationals as the latter. “Reducible” means “reducible over the rationals”.

We first recall that a general determinant is irreducible if its entries are regarded as independent variables [Boc07]. This suffices to prove directly that the degree of Predicate 2 is 2, and will be crucial for completing the proof of irreducibility of the polynomials pertaining to the other predicates. Use will be made of the following theorem.

Let  $p(x_1, \dots, x_n)$  be a multivariate homogeneous polynomial and let  $I$  be a subset of  $\{1, \dots, n\}$ , such that for any  $j \in I$ ,  $x_j$  is a variable of degree 1 in  $p$ . For  $i \in \{1, \dots, n\}$

$p$  can be expressed as

$$p = p_i x_i + p_{i0}$$

where  $p_i$  and  $p_{i0}$  are polynomials in all variables except  $x_i$ . Two polynomials are not considered distinct if they differ just by a multiplicative constant. We have the following :

**Theorem 13** *Let  $p(x_1, \dots, x_n)$  be a multivariate homogeneous polynomial with degree at least 3 and  $|I| \geq 2$ . If for some  $i, j \in I$ , coefficients  $p_i$  and  $p_j$  are distinct and irreducible, then  $p$  is irreducible.*

**Proof.** Assume, for a contradiction, that  $p$  is reducible. This means that  $p$  can be expressed as  $p = \phi\psi$ , where  $\phi$  and  $\psi$  are multivariate homogeneous polynomials over the same variables satisfying  $1 \leq \text{degree}(\phi), \text{degree}(\psi) < \text{degree}(p)$ . Each degree-1 variable obviously appears in exactly one of the factors. With complete generality, assume that  $x_i$  appears in  $\phi$ . We distinguish two cases:

1.  $\text{degree}(\phi) > 1$ . Expanding  $\phi$  around  $x_i$  we obtain  $\phi = \phi_i x_i + \phi_{i0}$ , so that

$$p = \phi\psi = (\phi_i x_i + \phi_{i0})\psi = \phi_i \psi x_i + \phi_{i0} \psi.$$

This means that  $p_i = \phi_i \psi$ , with  $\text{degree}(\phi_i), \text{degree}(\psi) \geq 1$ , i.e.,  $p_i$  is reducible, a contradiction.

2.  $\text{degree}(\phi) = 1$ . Variable  $x_j$  appears either in  $\phi$  or in  $\psi$ . In the first case,  $p_i$  and  $p_j$  are both proportional to  $\psi$ , i.e., they may differ only by a multiplicative constant and are not distinct, a contradiction.

In the second case, by an argument analogous to that of Case 1, we reach the conclusion that  $p_j = \phi\psi_j$  and that  $\text{degree}(\psi_j) = \text{degree}(p) - \text{degree}(\phi) - 1 \geq 3 - 1 - 1 = 1$ . Since  $p_j = \phi\psi_j$  and  $\text{degree}(\phi), \text{degree}(\psi_j) \geq 1$ ,  $p_j$  is reducible, another contradiction.

This completes the proof of the theorem. □

The calculation of coefficients  $p_i, p_j$  corresponds to taking formal derivatives of  $p$  with respect to  $x_i, x_j$ . Notice that a derivation reduces the degree by 1. The reader

may verify, by explicit and not very enlightening calculations, that the following schedules of derivations lead to irreducible  $2 \times 2$  determinants. The indices conform with the notation of Section 4.1.

**Predicate 3:** Apply Theorem 13 to the pair  $(x_1, x_2)$ .

**Predicate 4:** Apply Theorem 13 first to  $(x_2, x_3)$  and then to  $(y_6, y_7)$ .

**Predicate 4':** Apply Theorem 13 first to  $(x_0, x_1)$  and then to  $(x_2, x_3)$

**Predicate 5:** Apply Theorem 13 first to  $(x_1, x_2)$ , next to  $(x_7, x_8)$ , and finally to  $(y_5, y_6)$ .



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